# LEARNING AND REASONING OVER BIG PROOF CORPORA

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European Research Council Established by the European Commission Motivation, Learning vs. Reasoning

Learning of Theorem Proving

Demos

High-level Reasoning Guidance: Premise Selection

Low Level Guidance of Theorem Provers

Mid-level Reasoning Guidance

Autoformalization

## How Do We Automate Math and Science?

- · What is mathematical and scientific thinking?
- · Pattern-matching, analogy, induction from examples
- · Deductive reasoning
- · Complicated feedback loops between induction and deduction
- Using a lot of previous knowledge both for induction and deduction
- · We need to develop such methods on computers
- · Are there any large corpora suitable for nontrivial deduction?
- · Yes! Large libraries of formal proofs and theories
- So let's develop strong AI on them!

## History, Motivation, AI/TP/ML/DL

- Intuition vs Formal Reasoning Poincaré vs Hilbert, Science & Method
- Turing's 1950 paper: Learning Machines, learn Chess?, undecidability??
- · Lenat, Langley, etc: manually-written heuristics, learn Kepler laws,...
- Denzinger, Schulz, Goller, Fuchs late 90's, ATP-focused:
- Learning from Previous Proof Experience
- · My MSc (1998): Try ILP to learn rules and heuristics from IMPS/Mizar
- · Since: Use large formal math (Big Proof) corpora: Mizar, Isabelle, HOL
- · ... to combine/develop symbolic/statistical deductive/inductive ML/TP/AI
- ... hammer-style methods, feedback loops, internal guidance, ...
- More details AGI'18 keynote: https://slideslive.com/38909911/ no-one-shall-drive-us-from-the-semantic-ai-paradise-ofcomputerunderstandable-math-and-science
- Al vs DL: Ben Goertzel's 2018 Prague talk: https://youtu.be/Zt2HSTuGBn8

## Using Learning to Guide Theorem Proving

- · high-level: pre-select lemmas from a large library, give them to ATPs
- · high-level: pre-select a good ATP strategy/portfolio for a problem
- high-level: pre-select good hints for a problem, use them to guide ATPs
- low-level: guide every inference step of ATPs (tableau, superposition)
- · low-level: guide every kernel step of LCF-style ITPs
- mid-level: guide application of tactics in ITPs
- mid-level: invent suitable ATP strategies for classes of problems
- mid-level: invent suitable conjectures for a problem
- mid-level: invent suitable concepts/models for problems/theories
- · proof sketches: explore stronger/related theories to get proof ideas
- theory exploration: develop interesting theories by conjecturing/proving
- feedback loops: (dis)prove, learn from it, (dis)prove more, learn more, ...
- autoformalization: (semi-)automate translation from LATEX to formal

- Mizar / MML / MPTP since 2003
- MPTP Challenge (2006), MPTP2078 (2011), Mizar40 (2013)
- Isabelle (and AFP) since 2005
- Flyspeck (including core HOL Light and Multivariate) since 2012
- HOL4 since 2014, CakeML 2017, GRUNGE 2019
- Coq since 2013/2016
- ACL2 2014?
- · Lean?, Stacks?, Arxiv?, ProofWiki?, ...

- Hammering Mizar: http://grid01.ciirc.cvut.cz/~mptp/out4.ogv
- TacticToe on HOL4:

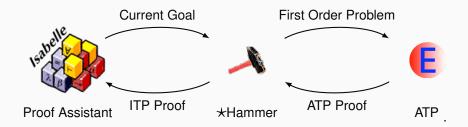
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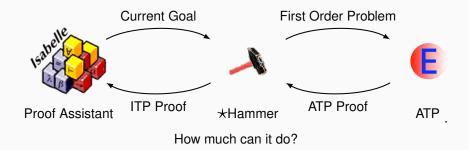
• Inf2formal over HOL Light:

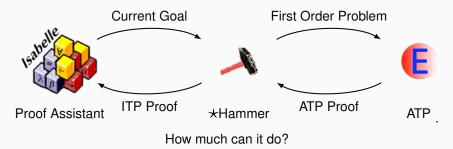
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## High-level ATP guidance: Premise Selection

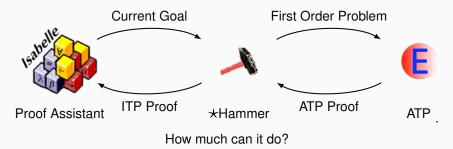
- Early 2003: Can existing ATPs be used over the freshly translated Mizar library?
- About 80000 nontrivial math facts at that time impossible to use them all
- · Is good premise selection for proving a new conjecture possible at all?
- Or is it a mysterious power of mathematicians? (Penrose)
- Today: Premise selection is not a mysterious property of mathematicians!
- · Reasonably good algorithms started to appear (more below).
- Will extensive human (math) knowledge get obsolete?? (cf. Watson, Debater, etc)







- Mizar / MML MizAR
- Isabelle (Auth, Jinja) Sledgehammer
- Flyspeck (including core HOL Light and Multivariate) HOL(y)Hammer
- HOL4 (Gauthier and Kaliszyk)
- CoqHammer (Czajka and Kaliszyk) about 40% on Coq standard library



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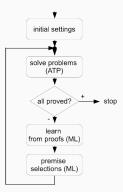
# pprox 45% success rate

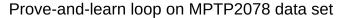
## **Recent Improvements and Additions**

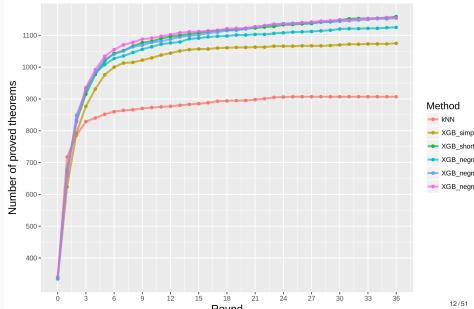
- · Semantic features encoding term matching/unification [IJCAI'15]
- · Distance-weighted k-nearest neighbor, LSI, boosted trees (XGBoost)
- Matching and transferring concepts and theorems between libraries (Gauthier & Kaliszyk) allows "superhammers", conjecturing, and more
- · Lemmatization extracting and considering millions of low-level lemmas
- First useful CoqHammer (Czajka & Kaliszyk 2016), 40%–50% reconstruction/ATP success on the Coq standard library
- Neural sequence models, definitional embeddings (with Google Research)
- Hammers combined with statistical tactical search: TacticToe (Gauthier HOL4)
- · Learning in binary setting from many alternative proofs
- Negative/positive mining (ATPBoost Piotrowski & JU, 2018)

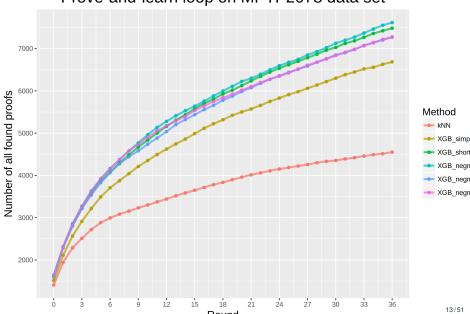
## High-level feedback loops - MALARea

- · Machine Learner for Autom. Reasoning (2006) infinite hammering
- · feedback loop interleaving ATP with learning premise selection
- · both syntactic and semantic features for characterizing formulas:
- · evolving set of finite (counter)models in which formulas evaluated
- winning AI/ATP benchmarks (MPTPChallenge, CASC 2008/12/13/18)
- ATPBoost (Piotrowski) recent incarnation focusing on multiple proofs

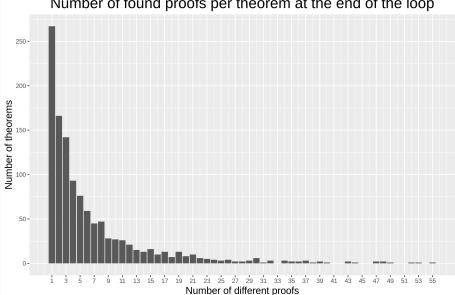








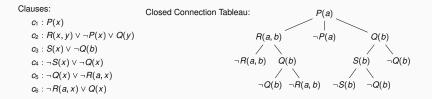
## Prove-and-learn loop on MPTP2078 data set



### Number of found proofs per theorem at the end of the loop

## Low-level: Statistical Guidance of Connection Tableau

- · learn guidance of every clausal inference in connection tableau (leanCoP)
- · set of first-order clauses, extension and reduction steps
- · proof finished when all branches are closed
- · a lot of nondeterminism, requires backtracking
- · Iterative deepening used in leanCoP to ensure completeness
- · good for learning the tableau compactly represents the proof state



## Statistical Guidance of Connection Tableau

- MaLeCoP (2011): first prototype Machine Learning Connection Prover
- · extension rules chosen by naive Bayes trained on good decisions
- training examples: tableau features plus the name of the chosen clause
- · initially slow: off-the-shelf learner 1000 times slower than raw leanCoP
- · 20-time search shortening on the MPTP Challenge
- · second version: 2015, with C. Kaliszyk
- · both prover and naive Bayes in OCAML, fast indexing
- Fairly Efficient MaLeCoP = FEMaLeCoP
- 15% improvement over untrained leanCoP on the MPTP2078 problems
- using iterative deepening enumerate shorter proofs before longer ones

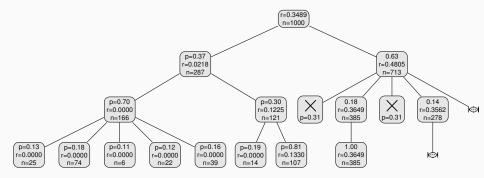
## Statistical Guidance of Connection Tableau - rlCoP

- 2018: stronger learners via C interface to OCAML (boosted trees)
- · remove iterative deepening, the prover can go arbitrarily deep
- added Monte-Carlo Tree Search (MCTS)
- MCTS search nodes are sequences of clause application
- a good heuristic to explore new vs exploit good nodes:

$$rac{w_i}{n_i} + c \cdot p_i \cdot \sqrt{rac{\ln N}{n_i}}$$
 (UCT - Kocsis, Szepesvari 2006)

- learning both *policy* (clause selection) and *value* (state evaluation)
- · clauses represented not by names but also by features (generalize!)
- · binary learning setting used: | proof state | clause features |
- · mostly term walks of length 3 (trigrams), hashed into small integers
- many iterations of proving and learning

## Tree Example



## Statistical Guidance of Connection Tableau - rlCoP

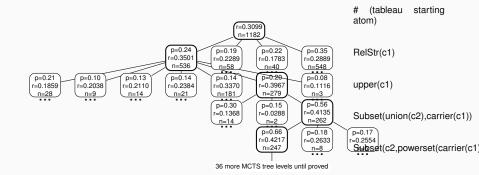
- On 32k Mizar40 problems using 200k inference limit
- nonlearning CoPs:

System	leanCoP	bare prover	rlCoP no policy/value (UCT only)
Training problems proved	10438	4184	7348
Testing problems proved	1143	431	804
Total problems proved	11581	4615	8152

- rlCoP with policy/value after 5 proving/learning iters on the training data
- 1624/1143 = 42.1% improvement over leanCoP on the testing problems

Iteration	1	2	3	4	5	6	7	8
Training proved Testing proved				14363 1595	14403 <b>1624</b>	14431 1586	14342 1582	<b>14498</b> 1591

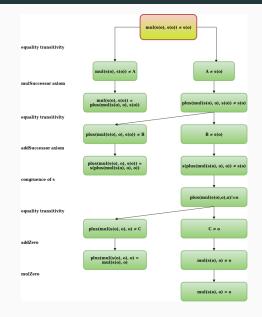
## More trees



## Recent Variations - FLoP, RNN

- FLoP Finding Longer Proofs (Zsombori et al, 2019)
- Curriculum Learning used for connection tableau over Robinson
   Arithmetic
- addition and multiplication learned perfectly from 1  $\ast$  1 = 1
- · headed towards learning algorithms/decision procedures from math data
- currently black-box, combinations with symbolic methods (ILP) our next target
- · Using RNNs for better tableau encoding, prediction of actions ...
- ... even guessing (decoding) next tableau literals (Piotrowski & JU, 2019)

## **FLoP Training Proof**



## Side Note on Symbolic Learning with NNs

- · Recurrent NNs with attention recently very good at the inf2formal task
- · Experiments with using them for symbolic rewriting (Piotrowski et. all)
- · We can learn rewrite rules from sufficiently many data
- · 80-90% on algebra datasets, 70-99% on normalizing polynomials
- again, complements symbolic methods like ILP that suffer if too much data

## Side Note on Symbolic Learning with NNs

#### Table: Examples in the AIM data set.

		After rewriting:
b(s(e,v1),e)=v1	k(b(s(e,v1),e),v0) t(v0,o(v1,o(v2,e)))	k(v1,v0)
o(V0,e)=V0	t(v0,o(v1,o(v2,e)))	t(v0,o(v1,v2))

#### Table: Examples in the polynomial data set.

Before rewriting:	After rewriting:
(x * (x + 1)) + 1	x ^ 2 + x + 1
(2 * y) + 1 + (y * y)	y ^ 2 + 2 * y + 1
(x + 2) * ((2 * x) + 1) + (y + 1)	$2 * x ^{2} + 5 * x + y + 3$

## Side Note on Model Learning with NNs

- · Smolik 2019 (MSc thesis): modelling mathematical structures with NNs
- NNs reasonably learn cyclic groups and their extensions
- ... so far struggle in learning bigger permutation groups
- · Plan: learn composition/variation of complicated math structures
- Use for model-style evaluation of formulas, conjectures, etc. similarly to the finite models in Malarea, etc.

## Statistical Guidance the Given Clause in E Prover

- harder for learning than tableau
- the proof state are two large heaps of clauses processed/unprocessed
- 2017: ENIGMA manual feature engineering (Jakubuv & JU 2017)
- 2017: Deep guidance (neural nets) (Loos et al. 2017)
- · both learn on E's proof search traces, put classifier in E
- · positive examples: given clauses used in the proof
- · negative examples: given clauses not used in the proof
- · ENIGMA: fast feature extraction followed by fast/sparse linear classifier
- about 80% improvement on the AIM benchmark
- · Deep guidance: convolutional nets no feature engineering but slow
- ENIGMA-NG: better features and ML, gradient-boosted trees, tree NNs
- NNs made competitive in real-time, boosted trees still best

## Feedback loop for ENIGMA on Mizar data

- · Similar to rICoP interleave proving and learning of ENIGMA guidance
- · Done on 57880 Mizar problems very recently
- · Ultimately a 70% improvement over the original strategy

	S	$S \odot \mathcal{M}_9^0$	${\mathcal S} \oplus {\mathcal M}_9^0$	$S \odot \mathcal{M}_9^1$	$\mathcal{S} \oplus \mathcal{M}_9^1$	$\mathcal{S} \odot \mathcal{M}_9^2$	$\mathcal{S} \oplus \mathcal{M}_9^2$	$S \odot M$
solved	14933	16574	20366	21564	22839	22413	23467	22910
$\mathcal{S}\%$	+0%	+10.5%	+35.8%	+43.8%	+52.3%	+49.4%	+56.5%	+52.8%
$\mathcal{S}+$	+0	+4364	+6215	+7774	+8414	+8407	+8964	+8822
$\mathcal{S}-$	-0	-2723	-782	-1143	-508	-927	-430	-845
		6	$S \odot \mathcal{M}_{12}^3 = 3$	$S \oplus \mathcal{M}_{12}^3$	$\mathcal{S} \odot \mathcal{M}^3_{16}$	$S \oplus \mathcal{M}^3_{16}$		
	_	solved	24159	24701	25100	25397	-	

solved	24159	24701	25100	25397
$\mathcal{S}\%$	+61.1%	+64.8%	+68.0%	+70.0%
$\mathcal{S}+$	+9761	+10063	+10476	+10647
$\mathcal{S}-$	-535	-295	-309	-183

# ProofWatch: Statistical/Semantic Guidance of E (Goertzel et al. 2018)

- Bob Veroff's hints method used for Prover9/AIM
- solve many easier/related problems
- · load their useful lemmas on the watchlist (kind of conjecturing)
- · boost inferences on clauses that subsume a watchlist clause
- watchlist parts are fast thinking, bridged by standard (slow) search
- · ProofWatch (2018): load many proofs separately
- · dynamically boost those that have been covered more
- needed for heterogeneous ITP libraries
- · statistical: watchlists chosen using similarity and usefulness
- · semantic/deductive: dynamic guidance based on exact proof matching
- · results in better vectorial characterization of saturation proof searches

## ProofWatch: Statistical/Symbolic Guidance of E

- · De Morgan's laws for Boolean lattices
- · guided by 32 related proofs resulting in 2220 watchlist clauses
- · 5218 given clause loops, resulting ATP proof is 436 clauses
- 194 given clauses match the watchlist and 120 (61.8%) used in the proof
- most helped by the proof of WAYBEL\_1:85 done for lower-bounded Heyting

```
theorem :: WAYBEL_1:85
for H being non empty lower-bounded RelStr st H is Heyting holds
for a, b being Element of H holds
    'not' (a "/\" b) >= ('not' a) "\/" ('not' b)
```

## ProofWatch: Vectorial Proof State

Final state of the proof progress for the 32 proofs guiding YELLOW\_5:36

0	0.438	42/96	1	0.727	56/77	2	0.865	45/52	3	0.360	9/25
4	0.750	51/68	5	0.259	7/27	6	0.805	62/77	7	0.302	73/242
8	0.652	15/23	9	0.286	8/28	10	0.259	7/27	11	0.338	24/71
12	0.680	17/25	13	0.509	27/53	14	0.357	10/28	15	0.568	25/44
				0.029							
20	0.471	16/34	21	0.323	20/62	22	0.333	7/21	23	0.520	26/50
24	0.524	22/42	25	0.523	45/86	26	0.462	6/13	27	0.370	20/54
28	0.411	30/73	29	0.364	20/55	30	0.571	16/28	31	0.357	10/28

## EnigmaWatch: ProofWatch used with ENIGMA

- · Use the proof completion ratios as features for characterizing proof state
- · Instead of just static conjecture features the vectors evolve
- · Feed them to ML systems along with other features
- Relatively good improvement
- · To be extended in various ways

## EnigmaWatch: ProofWatch used with ENIGMA

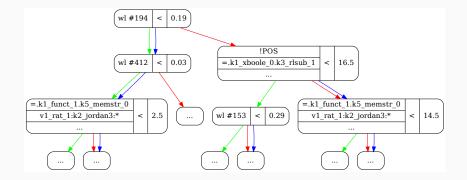
Baseline	Mean	Var	Corr	Rand	Baseline $\cup$ Mean	Total
1140	1357	1345	1337	1352	1416	1483

Table: ProofWatch evaluation: Problems solved by different versions.

loop	ENIGMA	Mean	Var	Corr	Rand	ENIGMA U Mean	Total
0	1557	1694	1674	1665	1690	1830	1974
1	1776	1815	1812	1812	1847	1983	2131
2	1871	1902	1912	1882	1915	2058	2200
3	1931	1954	1946	1920	1926	2110	2227

Table: ENIGMAWatch evaluation: Problems solved and the effect of looping.

## Example of an XGBoost decision tree



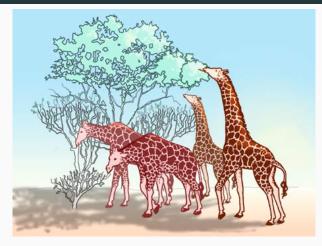
## TacticToe: mid-level ITP Guidance (Gauthier et al.'18)

- · learns from human tactical HOL4 proofs to solve new goals
- no translation or reconstruction needed
- · similar to rICoP: policy/value learning
- · however much more technically challenging:
  - · tactic and goal state recording
  - tactic argument abstraction
  - · absolutization of tactic names
  - nontrivial evaluation issues
- · policy: which tactic/parameters to choose for a current goal?
- · value: how likely is this proof state succeed?
- · 66% of HOL4 toplevel proofs in 60s (better than a hammer!)
- similar recent work for Isabelle (Nagashima 2018)
- · work in progress for Coq (us, OpenAI) and HOL Light (us, Google)

## BliStr: Blind Strategymaker

- · Problem: how do we put all the sophisticated ATP techniques together?
- · E.g., Is conjecture-based guidance better than proof-trace guidance?
- Grow a population of diverse strategies by iterative local search and evolution!
- · Dawkins: The Blind Watchmaker

## BliStr: Blind Strategymaker



- · The strategies are like giraffes, the problems are their food
- The better the giraffe specializes for eating problems unsolvable by others, the more it gets fed and further evolved

## BliStr: Blind Strategymaker

- · Use clusters of similar solvable problems to train for unsolved problems
- · Interleave low-time training with high-time evaluation
- · Thus co-evolve the strategies and their training problems
- · In the end, learn which strategy to use on which problem

### BliStr on 1000 Mizar@Turing problems

- original E coverage: 597 problems
- after 30 hours of strategy growing: 22 strategies covering 670 problems
- The best strategy solves 598 problems (1 more than all original strategies)
- A selection of 14 strategies improves E auto-mode by 25% on unseen problems
- Similar results for the Flyspeck problems
- Be lazy, don't do "hard" theory-driven ATP research (a.k.a: thinking)
- Larry Wall (Programming Perl): "We will encourage you to develop the three great virtues of a programmer: laziness, impatience, and hubris"

#### Statistical/Semantic Parsing of Informalized HOL

- · Goal: Learn understanding of informal math formulas and reasoning
- Experiments with the CYK chart parser linked to semantic methods
- · Training and testing examples exported form Flyspeck formulas
  - Along with their informalized versions
- Grammar parse trees
  - · Annotate each (nonterminal) symbol with its HOL type
  - · Also "semantic (formal)" nonterminals annotate overloaded terminals
  - · guiding analogy: word-sense disambiguation using CYK is common
- · Terminals exactly compose the textual form, for example:

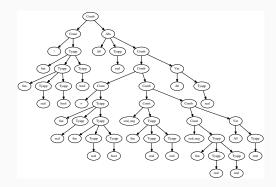
#### • REAL\_NEGNEG: $\forall x. -x = x$

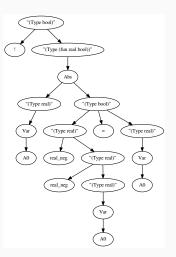
(Comb (Const "!" (Tyapp "fun" (Tyapp "fun" (Tyapp "real") (Tyapp "bool")) (Tyapp "bool"))) (Abs "A0" (Tyapp "real") (Comb (Comb (Const "=" (Tyapp "fun" (Tyapp "real") (Tyapp "fun" (Tyapp "real") (Tyapp "bool")))) (Comb (Const "real\_neg" (Tyapp "fun" (Tyapp "real") (Tyapp "real"))) (Comb (Const "real\_neg" (Tyapp "fun" (Tyapp "real") (Tyapp "real"))) (Var "A0" (Tyapp "real")))) (Var "A0" (Tyapp "real"))))

#### becomes

```
("ïType bool)" ! ("ïType (fun real bool))" (Abs ("ïType real)"
(Var A0)) ("ïType bool)" ("ïType real)" real_neg ("ïType real)"
real_neg ("ïType real)" (Var A0)))) = ("ïType real)" (Var A0)))))
```

## Example grammars





# CYK Learning and Parsing (KUV, ITP 17)

- Induce PCFG (probabilistic context-free grammar) from the trees
  - Grammar rules obtained from the inner nodes of each grammar tree
  - · Probabilities are computed from the frequencies
- The PCFG grammar is binarized for efficiency
  - · New nonterminals as shortcuts for multiple nonterminals
- CYK: dynamic-programming algorithm for parsing ambiguous sentences
  - · input: sentence a sequence of words and a binarized PCFG
  - output: N most probable parse trees
- Additional semantic pruning
  - · Compatible types for free variables in subtrees
- · Allow small probability for each symbol to be a variable
- · Top parse trees are de-binarized to the original CFG
  - · Transformed to HOL parse trees (preterms, Hindley-Milner)
  - · typed checked in HOL and then given to an ATP (hammer)

#### Online parsing system

- "sin ( 0 \* x ) = cos pi / 2"
- produces 16 parses
- · of which 11 get type-checked by HOL Light as follows
- · with all but three being proved by HOL(y)Hammer
- demo: http://grid01.ciirc.cvut.cz/~mptp/demo.ogv

```
sin (&0 * A0) = cos (pi / &2) where A0:real

sin (&0 * A0) = cos pi / &2 where A0:real

sin (&0 * &A0) = cos (pi / &2) where A0:num

sin (&0 * &A0) = cos pi / &2 where A0:num

sin (&(0 * A0)) = cos (pi / &2) where A0:num

sin (&(0 * A0)) = cos pi / &2 where A0:num

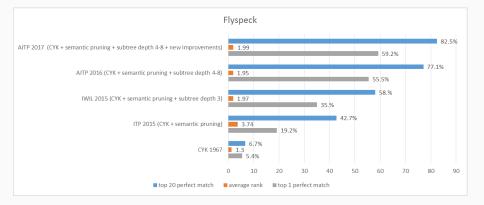
csin (Cx (&0 * A0)) = ccos (Cx (pi / &2)) where A0:real

csin (Cx (&0 * A0)) = ccos (Cx (pi / &2)) where A0:real<sup>2</sup>

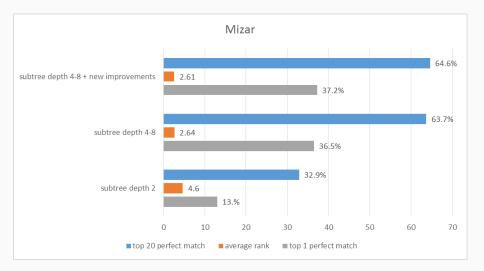
Cx (sin (&0 * A0)) = ccos (Cx (pi / &2)) where A0:real

csin (Cx (&0 * A0)) = ccos (Cx (pi / &2)) where A0:real<sup>2</sup>

csin (Cx (&0 * A0)) = Cx (cos (pi / &2)) where A0:real<sup>2</sup>
```



#### First Mizar Results (100-fold Cross-validation)



## Neural Autoformalization (Wang et al., 2018)

- · generate about 1M Latex Mizar pairs based on Bancerek's work
- train neural seq-to-seq translation models (Luong NMT)
- evaluate on about 100k examples
- · many architectures tested, some work much better than others
- very important latest invention: attention in the seq-to-seq models
- more data very important for neural training our biggest bottleneck (you can help!)
- · Recent addition: unsupervised methods no need for aligned data!

Rendered L <sup>AT</sup> EX Mizar	If $X \subseteq Y \subseteq Z$ , then $X \subseteq Z$ .
	X c= Y & Y c= Z implies X c= Z;
Tokenized Mizar	
	X c= Y & Y c= Z implies X c= Z ;
Ŀт <sub>Е</sub> х	
	If $X \sum Y \sum Z, then X \sum Z.$
Tokenized LATEX	
	If $ X \ Z \ Y \ Z \ .$ If $ X \ Z \ .$

Parameter	Final Test	Final Test	Identical	ldentical
	Perplexity	BLEU	Statements (%)	No-overlap (%)
128 Units	3.06	41.1	40121 (38.12%)	6458 (13.43%)
256 Units	1.59	64.2	63433 (60.27%)	19685 (40.92%)
512 Units	1.6	<b>67.9</b>	66361 (63.05%)	21506 (44.71%)
1024 Units	<b>1.51</b>	61.6	<b>69179 (65.73%)</b>	<b>22978 (47.77%)</b>
2048 Units	2.02	60	59637 (56.66%)	16284 (33.85%)

Rendered l∆T⊨X	Suppose $s_8$ is convergent and $s_7$ is convergent . Then $\lim(s_8+s_7)=\lim s_8+\lim s_7$
Input &TEX	<pre>Suppose \$ { s _ { 8 } } \$ is convergent and \$ { s _ { 7 } } \$ is convergent . Then \$ \mathop { \rm lim } ( { s _ { 8 } } { + } { s _ { 7 } } ) \mathrel { = } \mathop { \rm lim } { s _ { 8 } } { + } \mathop { \rm lim } { s _ { 7 } } \$.</pre>
Correct	seq1 is convergent & seq2 is convergent implies lim ( seq1 + seq2 ) = ( lim seq1 ) + ( lim seq2 ) ;
Snapshot- 1000	x in dom f implies ( x * y ) * ( f   ( x   ( y   ( y   y ) ) ) ) = ( x   ( y   ( y   ( y   y ) ) ) ) );
Snapshot- 2000	seq is summable implies seq is summable ;
Snapshot- 3000	<pre>seq is convergent &amp; lim seq = Oc implies seq = seq ;</pre>
Snapshot- 4000	<pre>seq is convergent &amp; lim seq = lim seq implies seq1 + seq2 is convergent ;</pre>
Snapshot- 5000	<pre>seq1 is convergent &amp; lim seq2 = lim seq2 implies lim_inf seq1 = lim_inf seq2 ;</pre>
Snapshot- 6000	<pre>seq is convergent &amp; lim seq = lim seq implies seq1 + seq2 is convergent ;</pre>
Snapshot- 7000	<pre>seq is convergent &amp; seq9 is convergent implies lim ( seq + seq9 ) = ( lim seq ) + ( lim seq9 ) ;</pre>

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