### MACHINE LEARNING AND AUTOMATED REASONING - INTRODUCTION

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March 8, 2019





European Research Council Established by the European Commission

### **Course Overview**

- Connections between two AI fields: Machine Learning (ML) and Automated Reasoning (AR)
- ML: apply various forms of *inductive reasoning* to large datasets to obtain the most plausible explanations, models and conjectures
- AR: apply various forms of *deductive reasoning* to prove that particular explanations and conjectures are correct.
- · Humans combine induction and deduction let's teach computers too!
- · We will mostly explore ML/AR combinations in a formal proof setting
- Typical problem: How can learning help with logical reasoning?

### Course Overview - Particular settings and topics

- ML and first-order logic (FOL), saturation-style theorem provers (ATPs)
- Higher-order logic (HOL), Set theory, formal proof asistants (ITPs)
- ML and reasoning in large theories, hammers for ITP, premise selection
- · Symbolic vs statistical learning for theorem proving
- ML in tableau-style and tactical reasoning systems
- Learning in prpositional logic (SAT), QBF, SMT, instantiation-based methods and model finding.
- Representations and conjecturing how do we characterize reasoning data for learning?
- Feeback loops for proving and learning, reinforcement learning of ATP, positive/negative proof mining
- Alignment and translation between informal and formal corpora, automated formalization
- Exam: do a small project in combining ML and AR

### Induction/Learning vs Reasoning - Henri Poincaré



- Science and Method: Ideas about the interplay between correct deduction and induction/intuition
- "And in demonstration itself logic is not all. The true mathematical reasoning is a real induction [...]"
- I believe he was right: strong general reasoning engines have to combine deduction and induction (learning patterns from data, making conjectures, etc.)

# Learning vs Reasoning – Alan Turing 1950 – Al



- 1950: Computing machinery and intelligence AI, Turing test
- "We may hope that machines will eventually compete with men in all purely intellectual fields." (regardless of his 1936 undecidability result!)
- last section on Learning Machines(!):
- "But which are the best ones [fields] to start [learning on] with?"
- "... Even this is a difficult decision. Many people think that a very abstract activity, like the playing of chess, would be best."
- · Why not try with large computer-understandable math corpora?

### Intuition vs Formal Reasoning - Poincaré vs Hilbert



[Adapted from: Logicomix: An Epic Search for Truth by A. Doxiadis]

### What is Formal Mathematics?

- · Developed thanks to the Leibniz/Russell/Frege/Hilbert/... program
- Mathematics put on formal logic foundations (symbolic computation)
- ... which btw. led also to the rise of computers (Turing/Church, 1930s)
- Formal math (1950/60s): combine formal foundations and the newly available computers
- Conceptually very simple:
- · Write all your axioms and theorems so that computer understands them
- · Write all your inference rules so that computer understands them
- · Use the computer to check that your proofs follow the rules
- · But in practice, it turns out not to be so simple
- · Many approaches, still not mainstream, but big breakthroughs recently

tiny proof from Hardy & Wright:

**Theorem 43 (Pythagoras' theorem).**  $\sqrt{2}$  is irrational. The traditional proof ascribed to Pythagoras runs as follows. If  $\sqrt{2}$  is rational, then the equation

$$a^2 = 2b^2$$
 (4.3.1)

is soluble in integers *a*, *b* with (a, b) = 1. Hence  $a^2$  is even, and therefore *a* is even. If a = 2c, then  $4c^2 = 2b^2$ ,  $2c^2 = b^2$ , and *b* is also even, contrary to the hypothesis that (a, b) = 1.

# Irrationality of $\sqrt{2}$ (Formal Proof Sketch)

exactly the same text in Mizar syntax:

```
theorem Th43: :: Pythagoras' theorem
  sqrt 2 is irrational
proof
  assume sqrt 2 is rational;
  consider a,b such that
4 3 1: a^2 = 2 \cdot b^2 and
    a,b are relative prime;
  a^2 is even;
  a is even;
  consider c such that a = 2 * c;
  4 \star c^2 = 2 \star b^2;
  2 \star c^2 = b^2;
  b is even;
  thus contradiction;
end;
```

# Irrationality of $\sqrt{2}$ in HOL Light

let SQRT\_2\_IRRATIONAL = prove (`~rational(sqrt(&2))`, SIMP\_TAC[rational; real\_abs; SQRT\_POS\_LE; REAL\_POS] THEN REWRITE\_TAC[NOT\_EXISTS\_THM] THEN REPEAT GEN\_TAC THEN DISCH\_THEN(CONJUNCTS\_THEN2 ASSUME\_TAC MP\_TAC) THEN SUBGOAL\_THEN `~((&p / &q) pow 2 = sqrt(&2) pow 2)` (fun th -> MESON\_TAC[th]) THEN SIMP\_TAC[SQRT\_POW\_2; REAL\_POS; REAL\_POW\_DIV] THEN ASM\_SIMP\_TAC[REAL\_EQ\_LDIV\_EQ; REAL\_OF\_NUM\_LI; REAL\_POW\_LT; ARITH\_RULE `0 < q <=> ~(q = 0)`] THEN ASM\_MESON\_TAC[NSQRT\_2; REAL\_OF\_NUM\_POW; REAL\_OF\_NUM\_MUL; REAL\_OF\_NUM\_EQ]);;

# Irrationality of $\sqrt{2}$ in Isabelle/HOL

```
theorem sgrt2 not rational:
  "sort (real 2) ∉ 0"
proof
 assume "sqrt (real 2) \in \mathbb{Q}"
  then obtain m n :: nat where
    n_nonzero: "n \neq 0" and sqrt_rat: "!sqrt (real 2)! = real m / real n"
    and lowest_terms: "gcd m n = 1" ...
 from n_nonzero and sqrt_rat have "real m = {sqrt (real 2)} * real n" by simp
  then have "real (m^2) = (sort (real 2))^2 * real <math>(n^2)"
    by (auto simp add: power2 eg square)
  also have "(sqrt (real 2))<sup>2</sup> = real 2" by simp
  also have "... * real (m^2) = real (2 * n^2)" by simp
  finally have eq: m^2 = 2 * n^2 ...
  hence "2 dvd m<sup>2</sup>"...
  with two is prime have dvd m: "2 dvd m" by (rule prime dvd power two)
  then obtain k where "m = 2^* k"
  with eq have "2 * n^2 = 2^2 * k^2" by (auto simp add: power2 eq square mult ac)
  hence "n^2 = 2 * k^2" by simp
  hence "2 dvd n^2"...
  with two_is_prime have "2 dvd n" by (rule prime_dvd_power_two)
  with dvd m have "2 dvd qcd m n" by (rule qcd greatest)
  with lowest terms have "2 dvd 1" by simp
 thus False by arith
ged
```

```
Theorem irrational_sqrt_2: irrational (sqrt 2%nat).
intros p q H H0; case H.
apply (main_thm (Zabs_nat p)).
replace (Div2.double (q * q)) with (2 * (q * q));
[idtac | unfold Div2.double; ring].
case (eq_nat_dec (Zabs_nat p * Zabs_nat p) (2 * (q * q))); auto; intros H1.
case (not_nm_INR _ _ H1); (repeat rewrite mult_INR).
rewrite <- (sqrt_def (INR 2)); auto with real.
rewrite H0; auto with real.
assert (q <> 0%R :> R); auto with real.
field; auto with real; case p; simpl; intros; ring.
Oed.
```

### Irrationality of 2 in Metamath

\${

```
$d x y $.
$( The square root of 2 is irrational. $)
sqr2irr $p |- ( sqr ` 2 ) e/ QQ $=
```

( vx vy c2 csqr cfv cq wnel wcel wn cv cdiv co wceq cn wrex cz cexp cmulc sqr2irrlem3 sqr2irrlem5 bi2rexa mtbir cc0 clt wbr wa wi wb nngtOt adantr cr axOre ltmuldivt mp3an1 nnret zret syl2an mpd ancoms 2re 2pos sqrgtOi breq2 mpbii syl5bir cc nncnt mulzer2t syl breq1d adantl sylibd exp r19.23adv anc21i elnnz syl6ibr impac r19.22i2 mto elq df-nel mpbir ) CDEZFGWDFHZIWEWDAJZBJZKLZMZBNOZAPOZWKWJANOZWLWFCQLCWGCQLRLMZBNOANOABSWIWM ABNNWFWGTUAUBWJWJAPNWFPHZWJWFNHZWNWJNNUCWFUDUEZUFWOWNWJWPNWNIWPBNWNWGMHZW IWPUGWNWQUFZWIUCGRLZWFUDUEZWPWRWTUCWHUDUEZWIWQWNWTXAUHZWQWNUFUCWGUDUEZXB WQXCWNWGUIUJWGUKHZWFUKHZXCXBUGZWQWNUCUKHXDXEXFULUCWGWFUMUNWGUOWFUPUQURUSW IUCWDUDUEXACUTVAVBWDHHUCUDVCVDVEWQWTWPUHWNWQWSUCWFUDUWQWGVFHWSUCMWGVGWGVHV IVJVKVLVMVNOWFVPVQVRVSVTABWDWAUBWDFWBWC \$.

\$( [8-Jan-02] \$)

\$}

### Irrationality of 2 in Metamath Proof Explorer

### 🗧 🐵 sqr2irr - Metamath Proof Explorer - Chromium

💦 sqr2irr - Metamat 🗴 📒

#### < > 😋 🗈 us.metamath.org/mpegif/sqr2irr.html

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			Proof of Theorem sqr2irr
Step	Нур	Ref	Expression
1		sqr2irrlem3 10838	$\dots s \vdash \neg \exists x \in \mathbb{N} \exists y \in \mathbb{N} (x \uparrow 2) = (2 \cdot (y \uparrow 2))$
2		sqr2irrlem5 10840	$\dots \leftarrow \vdash ((x \in \mathbb{N} \land y \in \mathbb{N}) \rightarrow ((\sqrt{2}) = (x / y) \leftrightarrow (x \uparrow 2) = (2 \cdot (y \uparrow 2))))$
3	2	2rexbiia 2329	$\dots : \vdash (\exists x \in \mathbb{N} \exists y \in \mathbb{N} (\sqrt{2}) = (x / y) \leftrightarrow \exists x \in \mathbb{N} \exists y \in \mathbb{N} (x \uparrow 2) = (2 \cdot (y \uparrow 2)))$
4	1, 3	mtbir 288	$\dots + \vdash \neg \exists x \in \mathbb{N} \exists y \in \mathbb{N} (\sqrt{2}) = (x / y)$
5		2re 8838	
5		2pos 8849	
7	<u>5, 6</u>	sqrgt0ii 10213	□ ⊢ 0 < (√'2)
8		breq2 3595	$\dots \dots \dots \mapsto \left( \left( \sqrt{2} \right) = \left( \frac{x}{y} \right) \rightarrow \left( 0 < \left( \sqrt{2} \right) \leftrightarrow 0 < \left( \frac{x}{y} \right) \right) \right)$
9	<u>7, 8</u>	mpbii 200	$\dots \dots \dots \mapsto \vdash ((\sqrt{2}) = (x / y) \rightarrow 0 < (x / y))$
10		Zrc 9029	$\dots \dots \dots \square \vdash (x \in \mathbb{Z} \rightarrow x \in \mathbb{R})$
11	10	adantr 444	$\dots \dots \mapsto ((x \in \mathbb{Z} \land y \in \mathbb{N}) \rightarrow x \in \mathbb{R})$
12		nnre 8788	$\dots \dots \square \vdash (y \in \mathbb{N} \rightarrow y \in \mathbb{R})$
13	12	adantl 445	$\dots \dots \mapsto ((x \in \mathbb{Z} \land y \in \mathbb{N}) \rightarrow y \in \mathbb{R})$
14		nngt0 8807	$\dots \dots \square \vdash (y \in \mathbb{N} \rightarrow 0 < y)$
15	14	adantl 445	$\dots \dots \square \vdash ((x \in \mathbb{Z} \land y \in \mathbb{N}) \rightarrow 0 < y)$
16		gt0div 8683	$\dots \dots \square \vdash ((x \in \mathbb{R} \land y \in \mathbb{R} \land 0 < y) \rightarrow (0 < x \leftrightarrow 0 < (x / y)))$
17	11, 13, 15, 16	syl3anc 1145	$\dots \dots \dots \mapsto \vdash ((x \in \mathbb{Z} \land y \in \mathbb{N}) \to (0 < x \leftrightarrow 0 < (x / y)))$
18	2, 17	syl5ibr 210	$\dots \dots \oplus \vdash ((x \in \mathbb{Z} \land y \in \mathbb{N}) \to ((\sqrt{2}) = (x / y) \to 0 < x))$
19		simpl 436	$\dots \dots \mapsto \vdash ((x \in \mathbb{Z} \land y \in \mathbb{N}) \to x \in \mathbb{Z})$
20	<u>18, 19</u>	jctild s22	$\dots \dots * \vdash ((x \in \mathbb{Z} \land y \in \mathbb{N}) \to ((\sqrt{2}) = (x / y) \to (x \in \mathbb{Z} \land 0 < x)))$
21		elnnz 9035	$\dots \dots \otimes \vdash (x \in \mathbb{N} \leftrightarrow (x \in \mathbb{Z} \land 0 < x))$
22	<u>20, 21</u>	<u>syl6ibr</u> 216	$\dots, \forall \vdash ((x \in \mathbb{Z} \land y \in \mathbb{N}) \rightarrow ((\sqrt{2}) = (x / y) \rightarrow x \in \mathbb{N}))$
23	22	rexlimdva 2414	$\dots \land \vdash (x \in \mathbb{Z} \rightarrow (\exists y \in \mathbb{N} (\sqrt{2}) = (x / y) \rightarrow x \in \mathbb{N}))$
24	<u>23</u>	impac 598	$\ldots : \vdash ((x \in \mathbb{Z} \land \exists y \in \mathbb{N} (\sqrt{2}) = (x / y)) \rightarrow (x \in \mathbb{N} \land \exists y \in \mathbb{N} (\sqrt{2}) = (x / y)))$
25	24	reximi2 2396	$\dots \vdash (\exists x \in \mathbb{Z} \exists y \in \mathbb{N} (\sqrt{2}) = (x / y) \rightarrow \exists x \in \mathbb{N} \exists y \in \mathbb{N} (\sqrt{2}) = (x / y))$
26	<u>4, 25</u>	<u>mto</u> 165	$ z \vdash \neg \exists x \in \mathbb{Z} \exists y \in \mathbb{N} (\sqrt{2}) = (x / y)$
27		<u>elq</u> 9308	$\exists f \vdash ((\sqrt{2}) \in \mathbb{Q} \leftrightarrow \exists x \in \mathbb{Z} \exists y \in \mathbb{N} (\sqrt{2}) = (x / y))$
28	<u>26, 27</u>	mtbir 288	$z \vdash \neg (\sqrt{2}) \in \mathbb{Q}$
29		df-nel 2210	$a \vdash ((\sqrt{2}) \notin \mathbb{Q} \leftrightarrow \neg (\sqrt{2}) \in \mathbb{Q})$
30	28, 29	mpbir 198	i ⊢ (√'2) ∉ Q

Colors of variables: wff set class

### Today: Computers Checking Large Math Proofs



# Big Example: The Flyspeck project

• Kepler conjecture (1611): The most compact way of stacking balls of the same size in space is a pyramid.



- Formal proof finished in 2014
- · 20000 lemmas in geometry, analysis, graph theory
- All of it at https://code.google.com/p/flyspeck/
- · All of it computer-understandable and verified in HOL Light:
- polyhedron s /\ c face\_of s ==> polyhedron c
- However, this took 20 30 person-years!

top 100 of interesting theorems/proofs (Paul & Jack Abad, 1999, tracked by Freek Wiedijk)

- 1. √2 ∉ ℚ
- 2. fundamental theorem of algebra
- 3.  $|\mathbb{Q}| = \aleph_0$

4. 
$$a \bigsqcup_{b}^{c} \Rightarrow a^{2} + b^{2} = c^{2}$$

5. 
$$\pi(x) \sim \frac{x}{\ln x}$$

- 6. Gödel's incompleteness theorem
- 7.  $\left(\frac{p}{q}\right)\left(\frac{q}{p}\right) = (-1)^{\frac{p-1}{2}\frac{q-1}{2}}$
- 8. impossibility of trisecting the angle and doubling the cube
- 32. four color theorem
- 33. Fermat's last theorem
- 99. Buffon needle problem
- 100. Descartes rule of signs

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- all together 88% HOL Light 86% Mizar 57% Isabelle 52% Coq 49% ProofPower 42% Metamath 24%
  - ACL2 18% PVS 16%

top 100 of interesting theorems/proofs (Paul & Jack Abad, 1999, tracked by Freek Wiedijk)

1. $\sqrt{2} \notin \mathbb{Q}$	alli
2. fundamental theorem of algebra	Цſ
3. $ \mathbb{Q}  = \aleph_0$	
4. $a b^{c} \Rightarrow a^{2} + b^{2} = c^{2}$	
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all together	88%
HOL Light	86%
Mizar	57%
Isabelle	52%
Coq	49%
ProofPower	42%
Metamath	24%
	18%

PVS

16%

top 100 of interesting theorems/proofs (Paul & Jack Abad, 1999, tracked by Freek Wiedijk)

- 1.  $\sqrt{2} \notin \mathbb{Q}$
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4. 
$$a = b^{c} \Rightarrow a^{2} + b^{2} = c^{2}$$

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all together	88%
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Mizar Isabelle Coq ProofPower	57% 52% 49% 42%
Metamath	24%

ACL2 18% PVS 16%

### Named Theorems in the Mizar Library

	Be FM - Chromium			
4	> C n.uwb.edu.pl/	- mmlquery/fillin.php?filledfilename=mml-facts.mqt&argument=number+102	ପ୍	☆ 🗔 🐵 ≡
	Mizar home, download files: abstr. articles	The most important facts in MML ( <u>decode</u> )	add de	scription
	bin, doc, emacs gabs.	See also Name carrying facts/theorems/definitions in MML		1.00.000
	fmbibs, gabs (more)	1 "Alexander\'s Lemma"	=> <u>WAYBEL_7:31</u>	VOTE
	semantic MML	2 "All Primes (1 mod 4) Equal the Sum of Two Squares"	=> <u>NAT_5:23</u>	VOTE
		3 "Axiom of Choice"	=> WELLORD2:18	VOTE
		4 "Baire Category Theorem (Banach spaces)"	=> <u>LOPBAN_5:3</u>	VOTE
	情報	5 "Baire Category Theorem (Hausdorff spaces)"	=> <u>NORMSP_2:10</u>	VOTE
	TELER	6 "Baire Category Theorem for Continuous Lattices"	=> WAYBEL12:39	VOTE
	MML Query (beta)	7 "Banach Fix Point Theorem for Compact Spaces"	=> <u>ALI2:1</u>	VOTE
	Template maker	8 "Banach-Steinhaus theorem (uniform boundedness)"	=> <u>LOPBAN_5:7</u>	VOTE
	Environment explanation	9 "Bertrand\'s Ballot Theorem"	=> <u>BALLOT_1:28</u>	VOTE
		10 "Bertrand\'s postulate"	=> <u>NAT_4:56</u>	VOTE
	Mizar TWiki	11 "Bezout\'s Theorem"	=> NEWTON:67	VOTE
	Megrez services	12 "Bing Theorem"	=> <u>NAGATA_2:22</u>	VOTE
	Journals:	13 "Binomial Theorem"	=> BINOM:25	VOTE
	FM: MetaPRESS,	14 "Birkhoff Variety Theorem"	=> BIRKHOFF:sch 12	VOTE
	server, proof-read,	15 "Bolzano theorem (intermediate value)"	=> TOPREAL5:8	VOTE
	MM&A	16 "Bolzano-Weierstrass Theorem (1 dimension)"	=> <u>SEO_4:40</u>	VOTE
	(preparation)	17 "Borsuk Theorem on Decomposition of Strong Deformation Retracts"	=> BORSUK 1:42	VOTE
	Constant and I band	18 "Borsuk-Ulam Theorem"	=> BORSUK 7:condreg 3	VOTE
	Downloads	19 "Boundary Points of Locally Euclidean Spaces"	=> MFOLD 0:2	VOTE
		20 "Brouwer Fixed Point Theorem"	=> BROUWER:14	VOTE
	Mizar syntax, xml, txt	21 "Brouwer Fixed Point Theorem for Disks on the Plane"	=> BROUWER:15	VOTE
	MML 5 25 1220	22 "Brouwer Fixed Point Theorem for Intervals"	=> TREAL 1:24	VOTE
	- most important facts	23 "Brown Theorem"	=> GCD 1:40	VOTE
	(other collection)	24 "Cantor Theorem"	=> CARD 1:14	VOTE
	Birkhoff	25 "Cantor-Bernstein Theorem"	=> CARD 1:10	VOTE -

- · Kepler Conjecture (Hales et all, 2014, HOL Light, Isabelle)
- Feit-Thompson (odd-order) theorem
  - Two graduate books
  - · Gonthier et all, 2012, Coq
- Compendium of Continuous Lattices (CCL)
  - · 60% of the book formalized in Mizar
  - · Bancerek, Trybulec et all, 2003
- The Four Color Theorem (Gonthier and Werner, 2005, Coq)

### Mid-size Formalizations

- Gödel's First Incompleteness Theorem Natarajan Shankar (NQTHM), Russell O'Connor (Coq)
- Brouwer Fixed Point Theorem Karol Pak (Mizar), John Harrison (HOL Light)
- Jordan Curve Theorem Tom Hales (HOL Light), Artur Kornilowicz et al. (Mizar)
- Prime Number Theorem Jeremy Avigad et al (Isabelle/HOL), John Harrison (HOL Light)
- Gödel's Second incompleteness Theorem Larry Paulson (Isabelle/HOL)
- Central Limit Theorem Jeremy Avigad (Isabelle/HOL)

### Large Software Verifications

- seL4 operating system microkernel
  - · Gerwin Klein and his group at NICTA, Isabelle/HOL
- CompCert a formaly verified C compiler
  - · Xavier Leroy and his group at INRIA, Coq
- EURO-MILS verified virtualization platform
  - ongoing 6M EUR FP7 project, Isabelle
- CakeML verified implementation of ML
  - Magnus Myreen, HOL4

# Central Limit Theorem in Isabelle/HOL



# Sylow's Theorems in Mizar

```
theorem :: GROUP_10:12
for G being finite Group, p being prime (natural number)
holds ex P being Subgroup of G st P is_Sylow_p-subgroup_of_prime p;
theorem :: GROUP_10:14
for G being finite Group, p being prime (natural number) holds
  (for H being Subgroup of G st H is_p-group_of_prime p holds
    ex P being Subgroup of G st
    P is_Sylow_p-subgroup_of_prime p & H is Subgroup of P) &
  (for P1.P2 being Subgroup of G
    st P1 is_Sylow_p-subgroup_of_prime p & P2 is_Sylow_p-subgroup_of_prime p
    holds P1.P2 are_conjugated);
```

```
theorem :: GROUP_10:15
```

```
for G being finite Group, p being prime (natural number) holds
  card the_sylow_p-subgroups_of_prime(p,G) mod p = 1 &
  card the_sylow_p-subgroups_of_prime(p,G) divides ord G;
```

### Gödel Theorems in Isabelle









### Implementing Prover Trident for SL, Stockholm

In this project, Prover Technology provides the Prover Trident solution to Ansaldo STS, for development and safety approval of interlocking software for Roslagsbanan, a mainline railway line that connects...



### Formal Verification of SSI Software for NYCT, New York

New York City Transit (NYCT) is modernizing the signaling system in its subway by installing CBTC and replacing relay-based interlockings with computerized, solid state interlockings (SSIs).



### Our Formal Verification Solution for RATP, Paris

In this project Prover Technology collaborated with RATP in creating a formal verification solution to meet RATP demand for safety verification of interlocking software. RATP had selected a computerized...

● Applications Places ● 図 _ ② / NS Unhackable × \₩ REMS ← → C ● Secure   https://aws.amazon.com	× <b>it</b> Robots chall × SS Startpage V × SS byron cook : /blogs/security/tag/automated-reasoning/	K $\sim$ m Byron Cook $\times$ $\sim$ AWS S	) I I I I I I I I I I I I I I I I I I I	e 21:15 Tue 21:15 × Jossif Page 8 12 09
Products Solutions Pricing Learn P	artner Network AWS Marketplace Explore More Q	Contact Sales Support My A	sccount + Sign L	Jp A
Blog Home Category - Edition - Follo Tag: Automated reasoning	w •		Search Blogs	Q,
	How AWS SideTrail verifies key AWS cryptography code by Dariel Schwartz-Harbonie (en 15 OCT 2018) in Security, letterity, & Co We know you want to spend your time learning valuable new sid vorrying about managing infrastructure. That's why we're alway services, particularly when it comes to cloud security. With that is Read More	mpliance   Permalink	Share nd scaling up applications — mate the management of A	- not IWS
Next Gen Cloud Security with Automated Reasoning aws_podcast	Podcast: Al tech named automated reasoning provides next- by Supriya Anami (an 08 OCT 2018 (in Security, Mentity, & Compliance ( R AWS (just released a new podcast on how next generation securit next for security and the for key components of your AWS architecture discusses how automated reasoning is embedded within AWS se Read More	gen cloud security smalink   @ Comments   # Share y technology, backed by automatee . Byron Cook, Director of the AWS J rvices and code and the tools custor	d reasoning, is providing you Automated Reasoning Grou; mers can []	u higher P,
	Daniel Schwartz-Narbonne shares how automated reasoning by Supriya Anami [ on 02 OCT 2018 [ in Security, Security, Mentity, & Comp I recently sait down with Daniel Schwartz-Narbonne, a software of AWS. to learn more about the groundbracking work his tasts technology based on mathematical logic, to prove that key comp Read More	i is helping achieve the provable: liance   Permalink   @ Comments   A Shi levelopment engineer in the Autom loing in cloud security. The team us sonents of the cloud are operating a	security of AWS boot code are nated Reasoning Group (AR( ses automated reasoning, a as []	e 5) at

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# the science of deep specification

Education

DeepSpec is an Expedition in Computing funded by the National Science Foundation.

We focus on the specification and verification of full functional correctness of software and hardware.

### Research

#### We have several major research projects, and our ambitious goal is to connect them at specification interfaces to prove end-to-end correctness of whole systems.

To deliver secure and reliable products, the software industry of the future needs engineers trained in specification and verification. We'll produce that curriculum.







### What Are Automated Theorem Provers?

- · Computer programs that (try to) determine if
  - A conjecture C is a logical consequence of a set of axioms Ax
  - · The derivation of conclusions that follow inevitably from facts.
- Systems: Vampire, E, SPASS, Prover9, Z3, CVC4, Satallax, iProver, ...
- Brute-force search calculi (resolution, superposition, tableaux, SMT, ...)
- · Human-designed heuristics for pruning of the search space
- Fast combinatorial explosion on large knowledge bases like Flyspeck and Mizar
- Need to be equipped with good domain-specific inference guidance ...
- ... this what we will try to do here ...
- ... by learning from the knowledge bases and reasoning feedback ...
- Details on particular ATP systems and ML settings later

http://grid01.ciirc.cvut.cz/~mptp/out4.ogv

### Using Learning to Guide Theorem Proving

- · high-level: pre-select lemmas from a large library, give them to ATPs
- · high-level: pre-select a good ATP strategy/portfolio for a problem
- · high-level: pre-select good hints for a problem, use them to guide ATPs
- low-level: guide every inference step of ATPs (tableau, superposition)
- · low-level: guide every kernel step of LCF-style ITPs
- mid-level: guide application of tactics in ITPs
- mid-level: invent suitable ATP strategies for classes of problems
- mid-level: invent suitable conjectures for a problem
- · mid-level: invent suitable concepts/models for problems/theories
- · proof sketches: explore stronger/related theories to get proof ideas
- · theory exploration: develop interesting theories by conjecturing/proving
- feedback loops: (dis)prove, learn from it, (dis)prove more, learn more, ...

# Sample of Learning Approaches We Have Been Using

- **neural networks** (statistical ML) backpropagation, deep learning, convolutional, recurrent, etc.
- decision trees, random forests, gradient tree boosting find good classifying attributes (and/or their values); more explainable
- **support vector machines** find a good classifying hyperplane, possibly after non-linear transformation of the data (*kernel methods*)
- **k-nearest neighbor** find the *k* nearest neighbors to the query, combine their solutions
- naive Bayes compute probabilities of outcomes assuming complete (naive) independence of characterizing features (just multiplying probabilities)
- inductive logic programming (symbolic ML) generate logical explanation (program) from a set of ground clauses by generalization
- genetic algorithms evolve large population by crossover and mutation
- combinations of statistical and symbolic approaches (probabilistic grammars, semantic features, ...)
- supervised, unsupervised, reinforcement learning (actions, explore/exploit, cumulative reward)

### Learning – Features and Data Preprocessing

- Extremely important if irrelevant, there is no use to learn the function from input to output ("garbage in garbage out")
- Feature discovery a big field
- Deep Learning design neural architectures that automatically find important high-level features for a task
- Latent Semantics, dimensionality reduction: use linear algebra (eigenvector decomposition) to discover the most similar features, make approximate equivalence classes from them
- word2vec and related methods: represent words/sentences by *embeddings* (in a high-dimensional real vector space) learned by predicting the next word on a large corpus like Wikipedia
- math and theorem proving: syntactic/semantic patterns/abstractions
- · how do we represent math objects (formulas, proofs, ideas) in our mind?

### **Reasoning Datasets - Large ITP Libraries and Projects**

- Mizar / MML / MPTP since 2003
- MPTP Challenge (2006), MPTP2078 (2011), Mizar40 (2013)
- Isabelle (and AFP) since 2005
- Flyspeck (including core HOL Light and Multivariate) since 2012
- HOLStep 2016, kernel inferences
- Coq since 2013/2016
- HOL4 since 2014
- ACL2 2014?
- Lean? 2017?
- Stacks?, ProofWiki?, Arxiv?

### High-level ATP guidance: Premise Selection

- Early 2003: Can existing ATPs be used over the freshly translated Mizar library?
- About 80000 nontrivial math facts at that time impossible to use them all
- · Is good premise selection for proving a new conjecture possible at all?
- Or is it a mysterious power of mathematicians? (Penrose)
- Today: Premise selection is not a mysterious property of mathematicians!
- · Reasonably good algorithms started to appear (more below).
- Will extensive human (math) knowledge get obsolete?? (cf. Watson, Debater, etc)

### Example system: Mizar Proof Advisor (2003)

- train naive-Bayes fact selection on all previous Mizar/MML proofs (50k)
- · input features: conjecture symbols; output labels: names of facts
- · recommend relevant facts when proving new conjectures
- give them to unmodified FOL ATPs
- · possibly reconstruct inside the ITP afterwards (lots of work)
- · First results over the whole Mizar library in 2003:
  - · about 70% coverage in the first 100 recommended premises
  - · chain the recommendations with strong ATPs to get full proofs
  - about 14% of the Mizar theorems were then automatically provable (SPASS)
- Today's methods: about 45-50% (and we are still just beginning!)

### ML Evaluation of methods on MPTP2078 - recall

- Coverage (recall) of facts needed for the Mizar proof in first n predictions
- · MOR-CG kernel-based, SNoW naive Bayes, BiLi bilinear ranker
- · SINe, Aprils heuristic (non-learning) fact selectors



### ATP Evaluation of methods on MPTP2078

- Number of the problems proved by ATP when given n best-ranked facts
- · Good machine learning on previous proofs really matters for ATP!



### High-level ATP guidance: Premise Selection/Hammers

- 2003: Can existing ATPs be used on the freshly translated Mizar library?
- About 80000 nontrivial math facts at that time impossible to use them all
- Mizar Proof Advisor (2003):
- · train naive-Bayes fact selection on previous Mizar/MML
- · recommend relevant premises when proving new conjectures
- give them to unmodified FOL ATPs
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- Mizar / MML MizAR
- Isabelle (Auth, Jinja) Sledgehammer
- Flyspeck (including core HOL Light and Multivariate) HOL(y)Hammer
- HOL4 (Gauthier and Kaliszyk)
- · CoqHammer (Czajka and Kaliszyk) about 40% on Coq standard library



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# pprox 45% success rate

### Statistical Guidance of Connection Tableau

- · learn guidance of every clausal inference in connection tableau (leanCoP)
- · set of first-order clauses, extension and reduction steps
- · proof finished when all branches are closed
- · a lot of nondeterminism, requires backtracking
- · Iterative deepening used in leanCoP to ensure completeness
- · good for learning the tableau compactly represents the proof state



### Statistical Guidance of Connection Tableau

- MaLeCoP (2011): first prototype Machine Learning Connection Prover
- · extension rules chosen by naive Bayes trained on good decisions
- training examples: tableau features plus the name of the chosen clause
- · initially slow: off-the-shelf learner 1000 times slower than raw leanCoP
- · 20-time search shortening on the MPTP Challenge
- · second version: 2015, with C. Kaliszyk
- · both prover and naive Bayes in OCAML, fast indexing
- Fairly Efficient MaLeCoP = FEMaLeCoP
- 15% improvement over untrained leanCoP on the MPTP2078 problems
- using iterative deepening enumerate shorter proofs before longer ones

### Statistical Guidance of Connection Tableau - rlCoP

- 2018: stronger learners via C interface to OCAML (boosted trees)
- · remove iterative deepening, the prover can go arbitrarily deep
- added Monte-Carlo Tree Search (MCTS)
- MCTS search nodes are sequences of clause application
- a good heuristic to explore new vs exploit good nodes:

$$\frac{w_i}{n_i} + c \cdot p_i \cdot \sqrt{\frac{\ln N}{n_i}}$$
 (UCT - Kocsis, Szepesvari 2006)

- learning both *policy* (clause selection) and *value* (state evaluation)
- · clauses represented not by names but also by features (generalize!)
- · binary learning setting used: | proof state | clause features |
- · mostly term walks of length 3 (trigrams), hashed into small integers
- many iterations of proving and learning

### Statistical Guidance of Connection Tableau - rlCoP

- On 32k Mizar40 problems using 200k inference limit
- nonlearning CoPs:

System	leanCoP	bare prover	rlCoP no policy/value (UCT only)
Training problems proved	10438	4184	7348
Testing problems proved	<b>1143</b>	431	804
Total problems proved	11581	4615	8152

- · rICoP with policy/value after 5 proving/learning iters on the training data
- 1624/1143 = 42.1% improvement over leanCoP on the testing problems

Iteration	1	2	3	4	5	6	7	8
Training proved	12325	13749	14155	14363	14403	14431	14342	<b>14498</b>
Testing proved	1354	1519	1566	1595	<b>1624</b>	1586	1582	1591

### Statistical Guidance the Given Clause in E Prover

- harder for learning than tableau
- the proof state are two large heaps of clauses processed/unprocessed
- 2017: ENIGMA (features engineering), Deep guidance (neural nets)
- · both learn on E's proof search traces, put classifier in E
- · positive examples: given clauses used in the proof
- · negative examples: given clauses not used in the proof
- · ENIGMA: fast feature extraction followed by fast/sparse linear classifier
- about 80% improvement on the AIM benchmark
- · Deep guidance: convolutional nets no feature engineering but slow

### ProofWatch: Statistical/Semantic Guidance of E

- · Bob Veroff's hints method used for Prover9/AIM
- solve many easier/related problems
- · load their useful lemmas on the watchlist
- · boost inferences on clauses that subsume a watchlist clause
- · watchlist parts are fast thinking, bridged by standard search
- · ProofWatch (2018): load many proofs separately
- · dynamically boost those that have been covered more
- needed for heterogeneous ITP libraries
- · statistical: watchlists chosen using similarity and usefulness
- · semantic/deductive: dynamic guidance based on exact proof matching
- · results in better vectorial characterization of saturation proof searches

### ProofWatch: Statistical/Symbolic Guidance of E

- · De Morgan's laws for Boolean lattices
- · guided by 32 related proofs resulting in 2220 watchlist clauses
- · 5218 given clause loops, resulting ATP proof is 436 clauses
- 194 given clauses match the watchlist and 120 (61.8%) used in the proof
- most helped by the proof of WAYBEL\_1:85 done for lower-bounded Heyting

```
theorem :: WAYBEL_1:85
for H being non empty lower-bounded RelStr st H is Heyting holds
for a, b being Element of H holds
    'not' (a "/\" b) >= ('not' a) "\/" ('not' b)
```

Final state of the proof progress for the 32 proofs guiding <code>YELLOW\_5:36</code>

0.438	42/96	1	0.727	56/77	2	0.865	45/52	3	0.360	9/25
0.750	51/68	5	0.259	7/27	6	0.805	62/77	7	0.302	73/242
0.652	15/23	9	0.286	8/28	10	0.259	7/27	11	0.338	24/71
0.680	17/25	13	0.509	27/53	14	0.357	10/28	15	0.568	25/44
0.703	52/74	17	0.029	8/272	18	0.379	33/87	19	0.424	14/33
0.471	16/34	21	0.323	20/62	22	0.333	7/21	23	0.520	26/50
0.524	22/42	25	0.523	45/86	26	0.462	6/13	27	0.370	20/54
0.411	30/73	29	0.364	20/55	30	0.571	16/28	31	0.357	10/28
	0.438 0.750 0.652 0.680 0.703 0.471 0.524 0.411	0.43842/960.75051/680.65215/230.68017/250.70352/740.47116/340.52422/420.41130/73	$\begin{array}{ccccc} 0.438 & 42/96 & 1 \\ 0.750 & 51/68 & 5 \\ 0.652 & 15/23 & 9 \\ 0.680 & 17/25 & 13 \\ 0.703 & 52/74 & 17 \\ 0.471 & 16/34 & 21 \\ 0.524 & 22/42 & 25 \\ 0.411 & 30/73 & 29 \\ \end{array}$	0.43842/9610.7270.75051/6850.2590.65215/2390.2860.68017/25130.5090.70352/74170.0290.47116/34210.3230.52422/42250.5230.41130/73290.364	0.43842/9610.72756/770.75051/6850.2597/270.65215/2390.2868/280.68017/25130.50927/530.70352/74170.0298/2720.47116/34210.32320/620.52422/42250.52345/860.41130/73290.36420/55	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	0.43842/9610.72756/7720.8650.75051/6850.2597/2760.8050.65215/2390.2868/28100.2590.68017/25130.50927/53140.3570.70352/74170.0298/272180.3790.47116/34210.32320/62220.3330.52422/42250.52345/86260.4620.41130/73290.36420/55300.571	0.438         42/96         1         0.727         56/77         2         0.865         45/52           0.750         51/68         5         0.259         7/27         6         0.805         62/77           0.652         15/23         9         0.286         8/28         10         0.259         7/27           0.680         17/25         13         0.509         27/53         14         0.357         10/28           0.703         52/74         17         0.029         8/272         18         0.379         33/87           0.471         16/34         21         0.323         20/62         22         0.333         7/21           0.524         22/42         25         0.523         45/86         26         0.462         6/13           0.411         30/73         29         0.364         20/55         30         0.571         16/28	0.438         42/96         1         0.727         56/77         2         0.865         45/52         3           0.750         51/68         5         0.259         7/27         6         0.805         62/77         7           0.652         15/23         9         0.286         8/28         10         0.259         7/27         11           0.680         17/25         13         0.509         27/53         14         0.357         10/28         15           0.703         52/74         17         0.029         8/272         18         0.379         33/87         19           0.471         16/34         21         0.323         20/62         22         0.333         7/21         23           0.524         22/42         25         0.523         45/86         26         0.462         6/13         27           0.411         30/73         29         0.364         20/55         30         0.571         16/28         31	0.438         42/96         1         0.727         56/77         2         0.865         45/52         3         0.360           0.750         51/68         5         0.259         7/27         6         0.805         62/77         7         0.302           0.652         15/23         9         0.286         8/28         10         0.259         7/27         11         0.338           0.680         17/25         13         0.509         27/53         14         0.357         10/28         15         0.568           0.703         52/74         17         0.029         8/272         18         0.379         33/87         19         0.424           0.471         16/34         21         0.323         20/62         22         0.333         7/21         23         0.520           0.524         22/42         25         0.523         45/86         26         0.462         6/13         27         0.370           0.411         30/73         29         0.364         20/55         30         0.571         16/28         31         0.357

### Machine Learner for Automated Reasoning

- MaLARea (2006) infinite hammering
- · feedback loop interleaving ATP with learning premise selection
- · both syntactic and semantic features for characterizing formulas:
- · evolving set of finite (counter)models in which formulas evaluated



### **Recent Improvements and Additions**

- · Semantic features encoding term matching/unification [IJCAI'15]
- · Distance-weighted k-nearest neighbor, LSI, boosted trees (XGBoost)
- Matching and transferring concepts and theorems between libraries (Gauthier & Kaliszyk) – allows "superhammers", conjecturing, and more
- · Lemmatization extracting and considering millions of low-level lemmas
- First useful CoqHammer (Czajka & Kaliszyk 2016), 40%–50% reconstruction/ATP success on the Coq standard library
- · Neural sequence models, definitional embeddings (Google Research)
- Hammers combined with statistical tactical search: TacticToe (HOL4)
- · Learning in binary setting from many alternative proofs
- Negative/positive mining (ATPBoost)

### Summary of Features Used

- From syntactic to more semantic:
- · Constant and function symbols
- Walks in the term graph
- · Walks in clauses with polarity and variables/skolems unified
- · Subterms, de Bruijn normalized
- · Subterms, all variables unified
- · Matching terms, no generalizations
- terms and (some of) their generalizations
- Substitution tree nodes
- All unifying terms
- · Evaluation in a large set of (finite) models
- · LSI/PCA combinations of above
- · Neural embeddings of above

### TacticToe: mid-level ITP Guidance (Gauthier et al.)

- · learns from human tactical HOL4 proofs to solve new goals
- no translation or reconstruction needed
- · similar to rICoP: policy/value learning
- · however much more technically challenging:
  - · tactic and goal state recording
  - tactic argument abstraction
  - · absolutization of tactic names
  - nontrivial evaluation issues
- · policy: which tactic/parameters to choose for a current goal?
- · value: how likely is this proof state succeed?
- · 66% of HOL4 toplevel proofs in 60s (better than a hammer!)
- work in progress for Coq
- · earlier Coq work: SEPIA (Gransden et al, 2015) inferred automata

### Neural Autoformalization (Wang et al., 2018)

- · generate about 1M Latex Mizar pairs based on Bancerek's work
- train neural seq-to-seq translation models (Luong NMT)
- evaluate on about 100k examples
- · many architectures tested, some work much better than others
- very important latest invention: attention in the seq-to-seq models
- more data very important for neural training our biggest bottleneck (you can help!)

Rendered LATEX Mizar	If $X \subseteq Y \subseteq Z$ , then $X \subseteq Z$ .
	X c= Y & Y c= Z implies X c= Z;
Tokenized Mizar	
	X c= Y & Y c= Z implies X c= Z ;
latex	
	If $X \sum Z^{,} \ Z^{,}$ then $X \sum Z^{,}$
Tokenized LATEX	
	If $ X \subseteq Y \subseteq  X $ , then $ X \subseteq  Z $ .

Parameter	Final Test	Final Test	Identical	Identical
	Perplexity	BLEU	Statements (%)	No-overlap (%)
128 Units	3.06	41.1	40121 (38.12%)	6458 (13.43%)
256 Units	1.59	64.2	63433 (60.27%)	19685 (40.92%)
512 Units	1.6	<b>67.9</b>	66361 (63.05%)	21506 (44.71%)
1024 Units	<b>1.51</b>	61.6	69179 (65.73%)	<b>22978 (47.77%)</b>
2048 Units	2.02	60	59637 (56.66%)	16284 (33.85%)

Rendered <sup>IAT</sup> EX	Suppose $s_8$ is convergent and $s_7$ is convergent . Then $\lim(s_8+s_7) = \lim s_8 + \lim s_7$
Input LaTEX	<pre>Suppose \$ { s _ { 8 } } \$ is convergent and \$ { s _ { 7 } } \$ is convergent . Then \$ \mathop { \rm lim } ( { s _ { 8 } } { + } { s _ { 7 } } ) \mathrel { = } \mathop { \rm lim } { s _ { 8 } } { + } \mathop { \rm lim } { s _ { 7 } } \$.</pre>
Correct	<pre>seq1 is convergent &amp; seq2 is convergent implies lim ( seq1 + seq2 ) = ( lim seq1 ) + ( lim seq2 ) ;</pre>
Snapshot- 1000	x in dom f implies ( x * y ) * ( f   ( x   ( y   ( y   y ) ) ) ) = ( x   ( y   ( y   ( y   y ) ) ) ) ) ;
Snapshot- 2000	seq is summable implies seq is summable ;
Snapshot- 3000	seq is convergent & lim seq = 0c implies seq = seq ;
Snapshot- 4000	<pre>seq is convergent &amp; lim seq = lim seq implies seq1 + seq2 is convergent ;</pre>
Snapshot- 5000	<pre>seq1 is convergent &amp; lim seq2 = lim seq2 implies lim_inf seq1 = lim_inf seq2 ;</pre>
Snapshot- 6000	<pre>seq is convergent &amp; lim seq = lim seq implies seq1 + seq2 is convergent ;</pre>
Snapshot- 7000	seq is convergent & seq9 is convergent implies lim ( seq + seq9 ) = ( lim seq ) + ( lim seq9 ) ;

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### Thanks and Advertisement

- Thanks for your attention!
- AITP Artificial Intelligence and Theorem Proving
- April 8-12, 2019, Obergurgl, Austria, aitp-conference.org
- ATP/ITP/Math vs AI/Machine-Learning people, Computational linguists
- · Discussion-oriented and experimental
- Grown to 60 people in 2018